



Rec'd PCT/PTO 02 AUG 2002

10/018667 Patent  
Attorney Docket 267/251

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: Kevin Brian Hatton et al.

Serial No.: 10/018,667

Filed: December 12, 2001

For: PROCESS FOR THE  
PREPARATION OF REACTION  
PRODUCTS OF  
CYCLOALIPHATIC EPOXIDES  
WITH MULTIFUNCTIONAL  
HYDROXY COMPOUNDS

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371, which was mailed by the Patent Office on February 25, 2002, enclosed are:

☒ Declaration

☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

July 25, 2002  
Date of Deposit

Laura Hays

Name of Person Mailing Paper

Signature of Person Mailing Paper

- ☒ Assignment of the invention to VANTICO A&T US INC. (together with PTO Form 1595)
- ☒ A copy of the Notification of Missing Requirements under 35 U.S.C. 371
- ☒ Petition for Extension of Time up to and including July 25, 2002

## II. FILING FEES

- ☐ Applicant claims small entity status pursuant to 37 CFR 1.27.

<b>BASIC FILING FEE:</b>				<b>\$0.00</b>
Total Claims	-	20	= 0 x \$18.00	\$0.00
Independent Claims	-	3	= 0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)	<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)	<input checked="" type="checkbox"/>	\$130.00
<b>TOTAL OF ABOVE CALCULATIONS</b>				<b>\$130.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.				<input type="checkbox"/> \$0.00
Extension of Time (from above)				\$0.00
Assignment -- \$40 (if applicable)				<input checked="" type="checkbox"/> \$40.00
<b>TOTAL FEES SUBMITTED HERewith</b>				<b>\$170.00</b>

## III. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$170.00.
- ☒ The Commissioner is hereby authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any additional fees required by this submission (or the accompanying Petition for Extension of Time).

00/00/2002 SFREY1 00000105 122475 10013067  
11/01/04 130.00 CH

Respectfully submitted,

LYON & LYON LLP

Dated: July 25, 2002

By:

Kristin H. Neuman  
Kristin H. Neuman, Esq.  
Reg. No. 35,530



22249

PATENT TRADEMARK OFFICE

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As of August 1, 2002, Applicants't representative may be reached at:

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## UNITED STATES PATENT AND TRADEMARK OFFICE

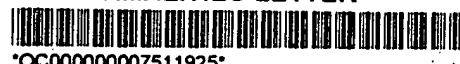
Commissioner for Patents, Box 101  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/018,667	FIRST NAMED APPLICANT Kevin Brian Hatton	ATTY. DOCKET NO. 267/251
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INTERNATIONAL APPLICATION NO. PCT/EP00/05170	
I.A. FILING DATE 06/06/2000	PRIORITY DATE 06/12/1999

Kristin H Neuman  
Lyon & Lyon  
633 West Fifth Street  
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Los Angeles, CA 90071

CONFIRMATION NO. 1717  
371 FORMALITIES LETTER



\*OC000000007511925\*

Date Mailed: 02/25/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements

*Missing parts  
4/25/02*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

RECEIVED

MAR - 4 2002

U.S. PROSECUTION

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/018,667	PCT/EP00/05170	267/251

FORM PCT/DO/EO/905 (371 Formalities Notice)